Office of Child Care

Request for Information:

Meeting the Child Care

Needs of Tribal Nations

August 22, 2023







Office of Child Care



Ruth Friedman, Ph.D.
Director



Megan Campbell Policy Supervisor



Sarah Stafford Senior Tribal Specialist



Rachel McKinnon, Ph.D. Senior Policy Specialist



Carly Champagne, Ph.D. Federal Policy Fellow



Meryl Barofsky, Ph.D. Senior Tribal Specialist



Lisa Fergus, Ed D.Senior Tribal Specialist



Agenda

Purpose of RFI

Difference between the NPRM and Tribal RFI

Tribal RFI topics

How to submit feedback

Timeline

Other upcoming sessions

WHAT IS AN RFI?

Request for Information (RFI):

Formal process to gather public comments on policy and program questions

Published in Federal Register

Information gathered could be used to inform future NPRMs, technical

assistance, and program guidance

Tribal CCDF programs



TRIBAL REQUEST FOR INFORMATION



What is the **purpose** of OCC's Tribal RFI?

Understand how OCC can better support Tribal Lead Agencies in implementing CCDF programs

Support Tribal sovereignty and the government-to-government relationship

Collect in-depth feedback on specific program requirements

DIFFERENCES BETWEEN NPRM AND RFI

	NPRM	Tribal RFI
Audience	Applies to all States, Territories, and Tribal Nations & Lead Agencies	Applies to all Tribal Nations & Lead Agencies and those impacted by Tribal CCDF policies
Public Comment Period	Ends August 28, 2023	Ends January 2, 2024
Tribal Consultation	Virtual on July 20, 2023	In-person (Nov 29-30) & virtual listening sessions (Sept 12 & Oct 25)
OCC Limitations	OCC cannot answer questions	OCC <u>can</u> answer questions
Rulemaking	Final Rule to be issued Spring 2024	Can inform future rulemaking

POSSIBLE IMPACTS

How can the RFI inform CCDF policies for Tribal Nations?



Regulations through a future NPRM



Subregulatory guidance such as **Program Instructions** and **Information Memoranda**



Technical assistance such as national webinars and tailored or individualized support

WHERE CAN I FIND THE TRIBAL RFI?

The Request for Information: Meeting the Child Care Needs of Tribal Nations was **published** in the Federal Register **July 27, 2023**.

- The RFI is available at https://www.federalregister.gov/documents/2023/07/27/2023- 15930/request-for-information-meeting-the-child-care-needs-in-tribal-nations.
- All RFI resources are posted at https://www.acf.hhs.gov/occ/outreach-material/rfi-meeting-child-care-needs-tribal-nations
- There is a joint landing page for the NPRM and RFI at https://www.acf.hhs.gov/occ/nprms-and-requests-public-comment



What's included in the Tribal Request For Information?

The Tribal RFI seeks feedback on:





CCDF Funding

- ✓ A1: Child Count
- ✓ A2: Consortia
- ✓ A3: Service Area
- **✓** B: Allocation size and tiered requirements
- ✓ C. CCDF Plan
- ✓ D. Discretionary Base Amount





CCDF Administration

- ✓ E. EC Systems Coordination
- ✓ F1-3: Spending requirements
- ✓ G: Construction
- ✓ H: Deadlines
- ✓ I: Reporting
- ✓ J: Quality Activities
- ✓ K: State System Impacts on Tribal sovereignty





Increasing Family Access

- ✓ L: Consumer Education
- √M: Eligibility

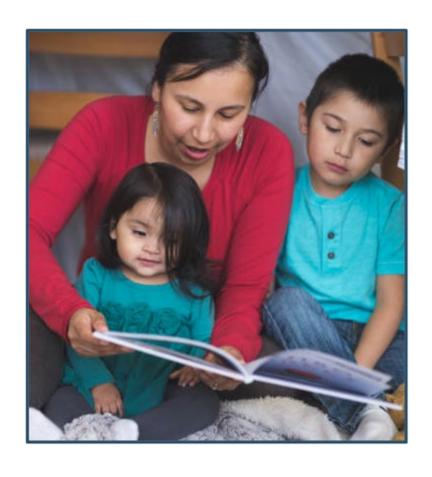




Building Supply

- ✓ N1: Early Childhood Workforce Compensation
- ✓ N2: Early Childhood Workforce Qualifications
- ✓O: Eligible Providers
- **✓** P: Background Checks
- ✓ Q: Other*

TRIBAL RFI CONTENT - FUNDING - ALLOCATION SIZE



Example:

B. Allocation size

Allocation Size	Funding Threshold	
Small	Under \$250,000	
Medium	\$250,000 - \$1,000,000	
Large	Over \$1,000,000	

RFI CONTENT – FUNDING – ALLOCATION SIZE

Large	Allocation
Over	\$1,000,00

Medium Allocation \$1,000,000 to \$250,000

Small Allocation Under \$250,000

Subject to the *majority* of CCDF requirements

- **Subject to** the monitoring requirements, but can propose an alternative methodology
- **Subject to** the background check requirements, but can propose an alternative approach

Exempt from *some* requirements:

- ✓ Consumer education website
- ✓ Licensing child care providers
- ✓ Market rate survey or alternative methodology
- ✓ Training and professional development framework

Subject to the *majority* of CCDF requirements

- **Subject to** the monitoring requirements, but can propose an alternative methodology
- **Subject to** the background check requirements, but can propose an alternative approach

Exempt from *some* requirements:

- √ Consumer education website
- ✓ Licensing child care providers
- ✓ Market rate survey or alternative methodology
- ✓ Training and professional development framework
- ✓ Operating a certificate program

Subject to some of the CCDF requirements

- **Subject to** the monitoring requirements, but can propose an alternative methodology
- **Subject to** the background check requirements, but can propose an alternative approach

Exempt from *most* requirements:

- ✓ Consumer education website and information
- ✓ Licensing child care providers
- ✓ Market rate survey or alternative methodology
- ✓ Training and professional development framework
- ✓ Offering direct services (including equal and stable access requirements)
- ✓ Submitting a full CCDF Plan (abbreviated)



TRIBAL RFI CONTENT - FUNDING - ALLOCATION SIZE

Example: B. Allocation size

Seeking comment on:

Are the current dollar thresholds for Tribes with small, medium, and large allocations established in 2016 still appropriate?

If not, what changes in the dollar thresholds and or tiered requirements for each allocation size would better support the implementation of the CCDF program and better serve the needs of Tribal Nations?



Clarifying Questions?



Example:

F. Spending Requirements

Spending Topic	Requirement	Allocation Size
F1: Direct Services	At least 70%	Medium, Large
F2: Admin	At most 15%	Small, Medium, Large
F3: Quality	At least 9%	Small, Medium, Large
Infant/Toddler	At least 3%	Medium, Large

Example:

F. Spending Requirements

Seeking comment on:

Do the spending requirements (for direct services, quality activities, and/or administrative expenses) **inhibit opportunities and access** to child care and early learning services in Tribal Nations? If so, in what ways?

What alternative policies would better meet Tribal Nations' child care needs?

Example:

G. Construction & Major Renovation Requirements

- ✓ CCDF Tribal Lead Agencies may use CCDF funds for construction and or major renovation
- ✓ Funds must be set-aside from regular allocation.
- Must demonstrate adequate child care facilities not otherwise available
- ✓ Must submit and receive approval of application from ACF
- ✓ Show after project complete, either level of direct services increases and or quality of services improved



Example:

G. Construction & Major Renovation Requirements

Seeking comment on:

Do the construction/major renovation requirements inhibit opportunities or create barriers to constructing or renovating child care and early learning facilities in Tribal Nations? If so, in what ways?

What alternative policies or requirements would better meet Tribal Nations' child care and early learning facilities needs?



Clarifying Questions?

TRIBAL RFI CONTENT EXAMPLE: M. ELIGIBILITY

Allocation Size	Eligibility Requirements
Small	 Any child who meets the Definition of Indian Child and resides in the service area (i.e., categorical eligibility).
Medium & Large	 Child who meets the Definition of Indian Child and resides in the service area Under age 13 Family income under 85% Grantee Median Income (GMI) Parents working, attending a job training or educational program, or in or in need of protective services TLA must demonstrate eligibility for categorical eligibility every three years in their CCDF plan (100% TMI less than 85% SMI)

Example:

M. Eligibility



Seeking comment on:

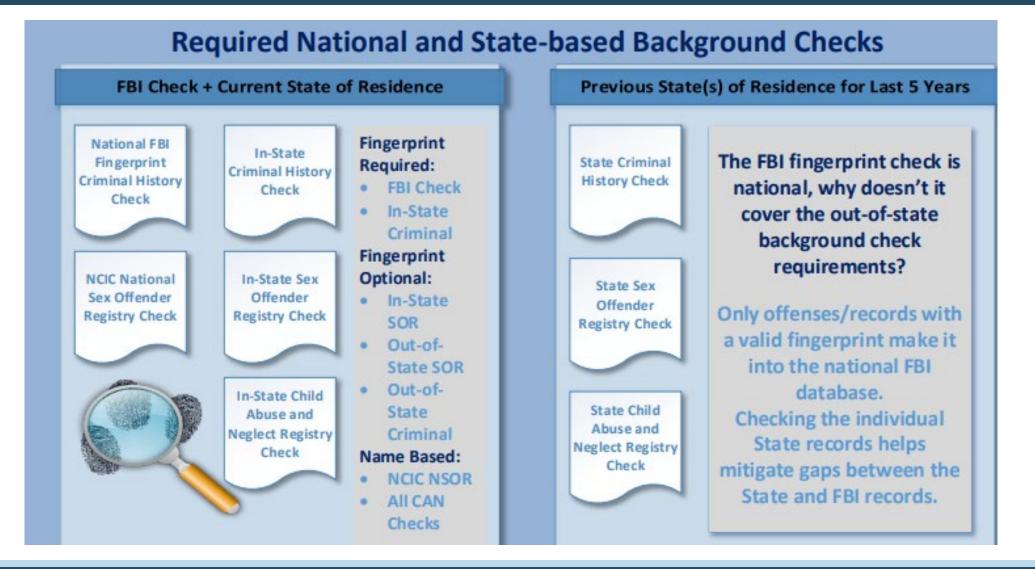
Are the current federal CCDF eligibility requirements for families **appropriate** for all allocation sizes?

If not, what changes are needed to expand opportunity and access to child care and early learning services to Tribal Nations and communities?



Clarifying Questions?

TRIBAL RFI EXAMPLE: P. BACKGROUND CHECKS



Example:

P. Background Checks



Legal authority to conduct checks

Seeking comment on:



Requesting and accessing data

What **challenges** do Tribal Nations face in implementing the required comprehensive background checks?

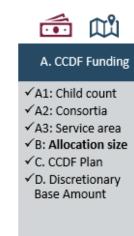
What **recommendations** do you have for addressing these challenges while ensuring child safety?



Clarifying Questions?

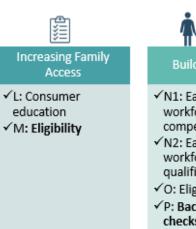
Submitting Comments on the Tribal RFI

- Comments must be submitted through regulations.gov or emailed to OCCTribal@acf.hhs.gov
- All comments received will be posted on regulations.gov
- Comments must identify the agency ACF and docket number ACF-2023-0004





sovereignty





- To ensure we can effectively address the comment(s), clearly identify the topical area relevant to the comment. Please provide:
 - Page number;
 - Identify the column; and
 - Cite the relevant topic and/or question from the Federal Register document, (e.g., On page 48411, third column, A1. Tribal Count).

Tribal RFI Timeline

Public comments submitted & posted on Regulations.gov through Jan 2, 2024

Published in Federal Register

- July 27, 2023
- Dear Tribal Leader Letter
- OCC announcement

Virtual Listening Sessions

- September 12

 Tribal Nations

 and Lead
- October 25 -Families and Providers

Agencies

Tribal Consultation

- In-person
- November 29-30, 2023
- Albuquerque,
 NM

Comment Period & Next steps

- Ends January2, 2024
- Tribal consultation report
- Future guidance and rulemaking



Thank you!



